

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Daniel R. Johnson and Alok Mehta

Application No.: 09/891,911

Group: 1762

Filed: June 26, 2001

Examiner: Bashore, Alain L.

Confirmation No.: 1408

For: Method and System for Evaluation of Potential Funding Sources for  
Financial Plans



<b>CERTIFICATE OF MAILING OR TRANSMISSION</b>	
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PRELIMINARY AMENDMENT

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Preliminary Amendment is being filed together with a Request for Continued Examination (RCE) in the above identified application. It is also filed in response to the Final Office Action mailed August 11, 2005. Reconsideration and further examination are requested.

An extension of time to respond to the Final Office Action is respectfully requested. A Petition for Extension of Time and the appropriate fee are being filed concurrently with this Amendment.

Please amend the application as follows: